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Form PTO 1449 (Modified) — U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

ATTY DOCKET NO.	SERIAL NO.
212771US22PCT	09/926,186
APPLICANT: Masashi KAWASAKI, et al.	
FILING DATE: September 20, 2001	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,530,267	06/25/96	C. D. BRANDLE, JR., et al. (submitting corr. JP 8-288220 only)			
	AB	6,057,561	05/02/2000	M. KAWASAKI, et al.			
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	AF	0 863 555	09/09/98	EUROPE (submitting corr. JP 10-256673 and JP 10-270749 only)		
	AG	8-288220	11/01/96	JAPAN		X
	AH	10-256673	09/25/98	JAPAN		X
	AI	10-270749	10/09/98	JAPAN		X
	AJ					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AK	N. KIMIZUKA, et al., Journal of Solid State Chemistry, vol. 78, pages 98-107, "STRUCTURAL CLASSIFICATION OF $RAO_3(MO)_n$ COMPOUNDS (R = Sc, In, Y, OR LANTHANIDES; A = Fe(III), Ga, Cr, OR Al; *M* = DIVALENT CATION; n = 1-11)", 1989
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	AN	A. OHTOMO, et al., Applied Physics Letters, vol. 75, no. 17, pages 2635-2637, "SINGLE CRYSTALLINE ZnO FILMS GROWN ON LATTICE-MATCHED $ScAlMgO_4(0001)$", October 25, 1999
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	AP	E. S. HELLMAN, et al., 3 pages, "$ScAlMgO_4$: AN OXIDE SUBSTRATE FOR GaN EPITAXY"
	AQ	~~E. S. HELLMAN, et al.,~~ MRS Internet Journal of Nitride Semiconductor Research, vol. 1, Article 1, "$ScAlMgO_4$: AN OXIDE SUBSTRATE FOR GaN EPITAXY", January 16, 1996

Examiner Shawna J. Hall — Date Considered 6/6/03

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#9

SHEET 1 OF 1

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. HASH0012U/PCT/US	SERIAL NO. 09/926,186
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT KAWASAKI ET AL.	
		FILING DATE 09/20/01	GROUP 2811

OIPE 1754 MAR 29 2002 PATENT & TRADEMARK OFFICE

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AA	PCT International Preliminary Examination Report for International Application No. PCT/JP00/01736. (6 PAGES)

Examiner Shouvanag Ale — Date Considered 6/6/03

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF REFERENCES CITED BY APPLICANT

ATTY DOCKET NO.	SERIAL NO.
HASH0012U/PCT/US	09/928,188
APPLICANT: KAWASAKI et al	
FILING DATE: Date of entry into ntnl. Stage: 01/14/2002	GROUP: 2811

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
SH	AA	5,530,267	06-1996	Brandle, Jr. et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
SH	AB	08-288220	11-1996	Japan	ABSTRACT	

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SH	AC	Kawasaki et al., "Fabrication of Alloys and Superlattices Based on ZnO Towards Ultraviolet Laser", Material Science and Engineering, B65 (1998), pp.263-266.
SH	AD	Koike et al., "Quasi-Microwave Band Longitudinally Coupled Surface Acoustic Wave Resonator Filters Using ZnO/Sapphire Substrate", Jpn. J. Appl. Phys. Vol 34, (1995), pp. 2678-82.

Examiner: Shouxiang Hu | Date Considered: 6-6-03

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.